# Agenda Item#4



To: Commission Members

From: Jonathan Wayne, Executive Director

Date: May 22, 2008

Re: Unregistered Candidates

Candidates for the Maine Legislature or for county office who are enrolled in a political party are required to qualify for the ballot no later than March 15<sup>th</sup> of the election year by collecting a minimum number of signatures of registered voters on nominating petitions and submitting the petitions to the Secretary of State. The candidates are also required to appoint a campaign treasurer and to register with the Ethics Commission. In the registration form established by the Commission (attached), the candidates disclose their campaign treasurer, deputy treasurer (if any), contact information, and the name of any committee authorized by the candidate (including officers). To date, 486 legislative and county candidates have registered with the Commission for the 2008 elections by filing this form.

The specific registration requirement under 21-A M.R.S.A. § 1013-A(1)(A) is that a candidate for state or county office shall appoint a treasurer "[n]o later than 10 days after becoming a candidate ...." Within 10 days of appointing a treasurer, the candidate is required to register with the Ethics Commission.

In recent election years, the Commission staff has encountered dozens of candidates who have qualified for the ballot in March of the election year, but who have neglected to register with the Commission. The staff has tried various means to contact these candidates.

In 2008, the Commission staff has sent four mailings to unregistered candidates urging them to register:

- On March 28, the Commission staff mailed a large yellow postcard to all unregistered candidates.
- On May 1, the staff mailed a form memo to unregistered candidates.
- On May 13, the staff mailed personalized letters to all unregistered candidates. The letter alerted the candidates that they could be assessed a forfeiture (civil penalty) of \$10 if they did not register.
- Today, the Commission mailed a letter to the four remaining unregistered candidates notifying them that the Commission staff intended to recommend a \$10 forfeiture at the Commission's May 30 meeting.

In spite of these communications, four candidates have not registered:

Candidate	Town	Office	Date Qualified for Ballot
George M. Jabar, II	Waterville	County Commissioner	3/13/08
Joan M. Boucher	Westbrook	Maine House of Representatives	3/14/08
Mitchell S. Snowman	Skowhegan	Maine House of Representatives	3/17/08
Frank L. Stanley	Tremont	Maine House of Representatives	3/17/08

The Commission staff also hoped to telephone all four candidates. I have personally spoken with Mitchell Snowman about the registration requirement. Candidate Registrar Sharon Timberlake spoke with a member of George Jabar's household, and asked that he return her call. We could not find telephone numbers for Frank Stanley and Joan Boucher.

The Commission is authorized by 21-A M.R.S.A. § 1020-A(1) to assess a forfeiture of \$10 for any candidate who does not register. Although the Commission staff does not favor the assessment of small penalties, we warned the unregistered candidates of the possible assessment of a \$10 civil forfeiture in order to get their attention and to persuade them to register. We remain hopeful that the candidates may register before the May 30 meeting. If not, the staff proposes that the Commission consider whether to assess a \$10 forfeiture against those candidates who remain unregistered at the May 30 meeting.

Thank you for your consideration of this issue.



COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES Mail: 135 State House Station, Augusta, Maine 04333 Office: 242 State Street, Augusta, Maine

Website: www.maine.gov/ethics Phone: 207-287-4179

Fax: 207-287-6775

Notice: Changes to registration information is this an amendment?  Yes  N		n 10 days of the change on this	s form, in writing, or by e-mail to the Commission.
1.	CANDIDA	TE INFORMATION	
Are you running as a (check one):	Maine Cl	ean Election Act candidate	privately financed candidate
Title Ms. Mrs. Mr. Dr.	Honorable	Party affiliation	Office sought
Name ·		· · · · · · · · · · · · · · · · · · ·	District or County
Mailing address			Phone (home)
City, zip code			Phone (work)
E-mail		Fax	Phone (mobile)
2.	TREASU	RER INFORMATION	
Name			Phone (home)
Mailing address			Phone (work)
City, zip code	E-mail		Fax
and before accepting contributions, making	expenditures or in r, the candidate m	curring obligations. THE CANI ust register with the Commiss	Dilater than 10 days after becoming a candidate DIDATE MAY SERVE AS TREASURER. No late ion the name and address of the candidate and (21-A MRSA §§ 1013-A and 1125(12-A))
3.	DEPUTY TR	EASURER INFORMATION	
Name		egyppigenses and fill the scholars where the electrical scholars described and the electrical scholars described by the el	Phone (home)
Mailing address			Phone (work)
City, zip code	u anti-		E-mail

**DESIGNATION OF DEPUTY TREASURER (optional):** The candidate may appoint a deputy treasurer, who must be reported to the Commission no later than 10 days after the appointment. The deputy, when acting in the absence of the treasurer, has the same powers and responsibilities as the treasurer. (21-A MRSA § 1013-A (1)(A)(1))

4. POLITICAL C	OMMITTEE INFOR	MATION	
Name			Phone
Address of campaign headquarters		City, zip code	
DESIGNATION OF POLITICAL COMMITTEE (optional): A election. The candidate must appoint a committee treasurer contributions, making expenditures or incurring obligations. No register the name of the committee, the committee treasurer and	no later than 10 day o later than 10 days a	s after appointir after appointing a	ng the committee, and before accepting a political committee, the candidate must
Committee Officers (use additional pages, if necessa  Name	ry): Title	• • • •	Phone
Name	1100		1 Hone
Mailing address	City, zip code		E-mail
Name	Title		Phone
Mailing address	City, zip code		E-mail
	ERTIFICATION ren if exemption belo		
I,(Print Candidate's Full Name) accurate and complete.	, certify tha	it the informatio	on in this registration is true,
Signature of Candidate		Date	
Signature of Candidate		<u> </u>	
6. EXEMPTION FR	OM REPORTING R	tequirement	8
A reporting exemption relieves the candidate of the oblig an exemption, the candidate must notify the Commis contributions (including in-kind), make expenditures or <u>PLEASE NOTE</u> : personal funds of the candid contributions/expenditures under Maine law. Hence, a candidate of a reporting exemption.	sion in a sworn an incur financial obliq late used for c	d notarized sta gations associat ampaign purp	tement that he/she will not accept ted with the candidate's candidacy. oses are considered campaign
NOTICE OF ELIGIBILITY FOR A REPORTING EXE contributions, make expenditures or incur obligations a	EMPTION: I, the unassociated with my of	ndersigned, sw candidacy.	ear or affirm that I will not accept
Signature of candidate		Date	
Subscribed and sworn (affirmed) to before me this	day of	, 2	
Sig	nature	Noton, Dublin/A	ttorney-at-law
Seal (optional)			lliomey-ai-iaw
REVOCATION NOTICE: The foregoing statement may A revocation notice must be in the form of an amended reafter the date the treasurer is appointed. The notice must revocation notice is subject to the same penalties applica	be revoked. Prior to egistration which must be filed before cont	revocation, the st be filed with the ributions are acc	candidate must appoint a treasurer. ne Commission no later than 10 days cepted or expenditures made. A late

### MAINE REVISED STATUTES 21-A §1013-A. Registration

### 21-A §1013-A. Registration

1. Candidates, their treasurers and political committees. A candidate shall register the candidate's name and the name of a treasurer with the commission at least once in each legislative biennium, as provided in this section. A candidate may have only one treasurer, who must be appointed pursuant to paragraph A or B. For purposes of this section, "legislative biennium" means the term of office a person is elected to serve in the Legislature.



- A. No later than 10 days after becoming a candidate and before accepting contributions, making expenditures or incurring obligations, a candidate for state or county office or a candidate for municipal office who has not filed a written notice in accordance with section 1011, subsection 2, paragraph A shall appoint a treasurer. The candidate may serve as treasurer. The candidate may have only one treasurer, who is responsible for the filing of campaign finance reports under this chapter. A candidate shall register the candidate's name and address and the name and address of the treasurer appointed under this section no later than 10 days after the appointment of the treasurer. A candidate may accept contributions personally or make or authorize expenditures personally, as long as the candidate reports all contributions and expenditures to the treasurer. The treasurer shall make a consolidated report of all income and expenditures and provide this report to the commission.
  - (1) A candidate may appoint a deputy treasurer to act in the absence of the treasurer. The deputy treasurer, when acting in the absence of the treasurer, has the same powers and responsibilities as the treasurer. When a treasurer dies or resigns, the deputy treasurer may not assume the position of treasurer unless the candidate appoints the deputy treasurer to the position of treasurer. The candidate shall report the name and address of the deputy treasurer to the commission no later than 10 days after the deputy treasurer has been appointed. [1995, c. 2, §35 (COR).]
- B. A candidate may authorize one political committee to promote the candidate's election. No later than 10 days after appointing a political committee and before accepting contributions, making expenditures or incurring obligations, a candidate for state, county or municipal office shall appoint a treasurer of the political committee. The treasurer of the political committee is responsible for filing campaign finance reports under this chapter. No later than 10 days after appointing a political committee, the candidate shall register with the commission the following information regarding the political committee:
  - (1) The name of the committee;
  - (2) The name and address of the committee's treasurer;
  - (3) The name of the candidate who authorized the committee; and
  - (4) The names and addresses of the committee's officers. [1995, c. 483, §4 (AMD).]
- C. No later than 10 days after becoming a candidate, as defined in section 1, subsection 5, a candidate for the office of State House of Representatives or Senate shall file in writing a statement declaring that the candidate agrees to accept voluntary limits on political expenditures or that the candidate does not agree to accept voluntary limits on political expenditures, as specified in section 1015, subsections 7 to 9. A candidate who has filed a declaration of intent to become certified as a candidate under the Maine Clean Election Act is not required to file the written statement required by this paragraph.

The statement filed by a candidate who voluntarily agrees to limit spending must state that the candidate knows the voluntary expenditure limitations as set out in section 1015,

subsection 8 and that the candidate is voluntarily agreeing to limit the candidate's political expenditures and those made on behalf of the candidate by the candidate's political committee or committees, the candidate's party and the candidate's immediate family to the amount set by law. The statement must further state that the candidate does not condone and will not solicit any independent expenditures made on behalf of the candidate.

The statement filed by a candidate who does not agree to voluntarily limit political expenditures must state that the candidate does not accept the voluntary expenditure limits as set out in section 1015, subsection 8. [2007, c. 443, Pt. A, §7 (AMD).]

```
[ 2007, c. 443, Pt. A, §7 (AMD) .]
```

2. Authorized political committees.

```
[ 1991, c. 839, §34 (AFF); 1991, c. 839, §5 (RP).]
```

3. Party committees. The state, district and county committees of parties shall submit to the commission the names and addresses of all their officers and of their treasurers and the name and address of the principal paid employee, if any, within 30 days after the appointment, election or hiring of these persons. Municipal committees must file copies of the same information with the commission and the municipal clerk. District, county and municipal committees that provide their state party committees with the information required by this subsection to be submitted to the commission have met that requirement. No later than the 2nd Monday in April of each year in which a general election is scheduled, the state committee of a party shall submit a consolidated report, including the information required under this subsection, for the district, county and municipal committees of that party.

```
[ 1995, c. 483, §5 (AMD).]
```

4. Reporting by registered treasurers. Any contribution accepted and any expenditure made or authorized by or on behalf of a candidate registered under this section or qualified under sections 335 and 336 or sections 354 and 355 must be recorded and reported as provided in sections 1016 and 1017.

```
[ 1991, c. 839, §6 (AMD); 1991, c. 839, §34 (AFF).]
```

5. Changes in registration information. Every change in information required by this section to be reported to the commission shall be reported within 10 days of the date of the change.

```
[ 1989, c. 504, §§4, 31 (NEW) .]
```

### SECTION HISTORY

1989, c. 504, §§4,31 (NEW). 1989, c. 833, §1 (AMD). 1991, c. 839, §§4-6 (AMD). 1991, c. 839, §34 (AFF). 1995, c. 384, §1 (AMD). 1995, c. 483, §§4,5 (AMD). RR 1995, c. 2, §35 (COR). 1999, c. 729, §1 (AMD). 2007, c. 443, Pt. A, §7 (AMD).

### MAINE REVISED STATUTES 21-A §1020-A. Failure to file on time

### 21-A §1020-A. Failure to file on time

1. Registration. A candidate that fails to register the name of a candidate, treasurer or political committee with the commission within the time allowed by section 1013-A, subsection 1 may be assessed a forfeiture of \$10. The commission shall determine whether a registration satisfies the requirements for timely filing under section 1013-A, subsection

[ 1995, c. 483, §15 (NEW) .]

- 2. Campaign finance reports. A campaign finance report is not timely filed unless a properly signed or electronically submitted copy of the report, substantially conforming to the disclosure requirements of this subchapter, is received by the commission by 11:59 p.m. on the date it is due. Except as provided in subsection 7, the commission shall determine whether a report satisfies the requirements for timely filing. The commission may waive a penalty if the commission determines that the penalty is disproportionate to the size of the candidate's campaign, the level of experience of the candidate, treasurer or campaign staff or the harm suffered by the public from the late disclosure. The commission may waive the penalty in whole or in part if the commission determines the failure to file a timely report was due to mitigating circumstances. For purposes of this section, "mitigating circumstances" means:
  - A. A valid emergency determined by the commission, in the interest of the sound administration of justice, to warrant the waiver of the penalty in whole or in part; [1999, c. 729, §5 (AMD).]
  - B. An error by the commission staff; [1999, c. 729, §5 (AMD).]
  - C. Failure to receive notice of the filing deadline; or [1999, c. 729, §5 (AMD).]
  - D. Other circumstances determined by the commission that warrant mitigation of the penalty, based upon relevant evidence presented that a bona fide effort was made to file the report in accordance with the statutory requirements, including, but not limited to, unexplained delays in postal service. [1999, c. 729, §5 (NEW).]

[ 2007, c. 443, Pt. A, §21 (AMD).]

3. Municipal campaign finance reports. Municipal campaign finance reports must be filed, subject to all the provisions of this subchapter, with the municipal clerk on forms prescribed by the Commission on Governmental Ethics and Election Practices. The municipal clerk shall send any notice of lateness required by subsection 6 and shall notify the commission of any late reports subject to a penalty.

[ 1995, c. 625, Pt. B, §5 (AMD).]

4. Basis for penalties.



May 22, 2008

Mr. George Jabar, II 6 Park Place Waterville, ME 04901

RE: Notice of Recommended Forfeiture

Dear Mr. Jabar:

The Maine Ethics Commission has written you three times (March 28, May 1, and May 13, 2008) about the <u>legal requirement</u> to register as a candidate for the Kennebec County Commissioner. (21-A M.R.S.A. § 1013-A) The Commission staff has not yet received your registration.

This is to inform you that at the May 30, 2008 meeting of the Ethics Commission, the staff of the Commission will recommend that the Commission assess a civil forfeiture against you in the amount of \$10 pursuant to 21-A M.R.S.A. § 1020-A(1). To avoid this forfeiture, please file the registration no later than Wednesday, May 28. You may fax the registration form to our office at 287-6775, provided that we receive the original form within five days thereafter.

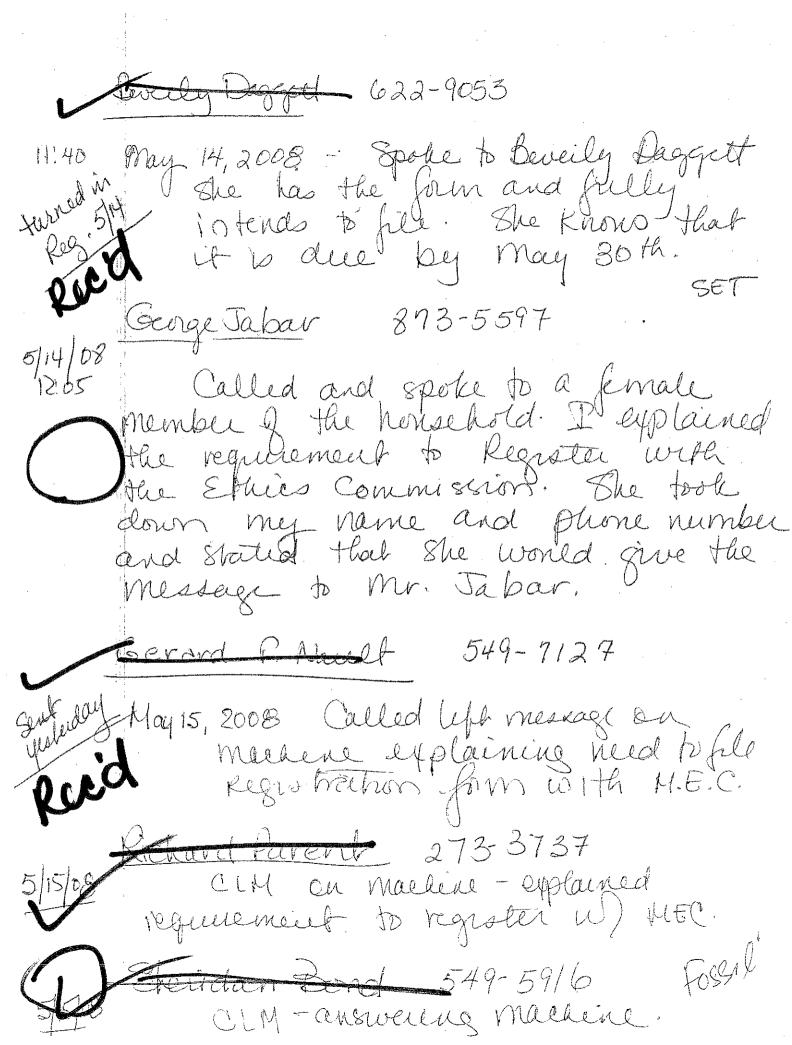
If you have any questions, please telephone us at 287-4179. Thank you.

Sincerely

Jonathan Wayne

Executive Director

cp





May 13, 2008

Mr. George Jabar II 6 Park Place Waterville, ME 04901

Re: Requirement to Register for County Office

Dear Mr. Jabar:

The Maine Ethics Commission has written you twice on March 28 and May 1, 2008 about the <u>legal requirement</u> to register as a candidate for County Commissioner or Register of Probate. (21-A M.R.S.A. § 1013-A) The Commission staff has not yet received your registration. Even if you expect to be replaced by another candidate, you are required to register and identify a treasurer or state that you are exempt.

Registration

Please fill out the registration form and return it in the enclosed envelope. If the Commission staff does not receive a signed registration form by Wednesday, May 21, we will recommend that the members of the Commission assess a civil forfeiture of \$10 against you at the next public meeting of the Commission on May 30, 2008. You will receive notice from the staff if your matter is placed on the meeting's agenda.

Financial Report due May 30, 2008

As a candidate for County Commissioner or Register of Probate, you are legally required to file five campaign finance reports during the election year. The first report must be filed by 11:59 p.m. on Friday, May 30, 2008. We have enclosed a reporting form. Please be aware that financial penalties accrue every day that this report is filed late.

Exemption from Reporting

If you will not raise or spend any money for your candidacy, please fill out Box 6 on the second page of the registration form and have it notarized. Then, you will not be required to file the campaign finance reports later this year.

If you have any questions, please telephone us at 287-4179. Thank you.

Sincerely

Sharon Timberlake

nTembelleke

Candidate Registrar

OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE WEBSITE: www.maine.gov/ethics

PHONE: (207) 287-4179

Lago to the

Office Dist County Party Name

2008 Primary Election

Office Listing of Candidates Filing Residence Mailing Address

Date Filed

							1				
8	8	CC	8	8	S	8	88	8	8	8	8
ω	_	_	<b></b>	ω	ω	2	w	_	<u> </u>	ω	
Lin	Li	듥	듥	Kno	Kno	Kno	Ken	Ken	Ken	Han	Han
7J	70	D	<del>ر</del> ر	7J	D	æ	ס	20	D	D	Ŋ
Bond, Sheridan T.	Warren, Jay D.	Taylor, David A.	Orne, Lynn Gilley	Nash, Lawrence F.	Moody, Roger A.	Parent, Richard L., Jr.	Jabar, George M., II	Nault, F. Gerard	Daggett, Beverly C.	Lawson, Fay A.	Joy, Steven E.
Jefferson	Boothbay Harbor	Boothbay Harbor	Southport	Union	Camden	Warren	Waterville	Windsor	Augusta	Tremont	Ellsworth
PO Box 24, Jefferson 04348	109 Middle Road, Boothbay Harbor 04538	94 Atlantic Avenue, Boothbay Harbor 04538	16 Deer Ridge, Southport 04576	PO Box 641, Camden 04843	251 Washington Street, Camden 04843	867 Camden Road, Warren 04864	6 Park Place, Waterville 04901 (\taulust -> 03/13/08	88 Erskine Road, Windsor 04363 Chalifed P	16 Pine Street, Augusta 04330	PO Box 309, Bass Harbor 04653	125 Main Street, Ellsworth 04605
03/14/08	03/12/08	03/14/08	02/14/08	03/06/08	02/20/08	03/13/08	7 03/13/08	02/20/08	03/17/08	03/03/08	02/22/08



May 22, 2008

Mr. Mitchell Snowman 494 Back Road Skowhegan, ME 04976

RE: Notice of Recommended Forfeiture

Dear Mr. Snowman:

The Maine Ethics Commission has written you three times (March 28, May 1, and May 13, 2008) about the <u>legal requirement</u> to register as a candidate for the Maine House of Representatives. (21-A M.R.S.A. § 1013-A) The Commission staff has not yet received your registration.

This is to inform you that at the May 30, 2008 meeting of the Ethics Commission, the staff of the Commission will recommend that the Commission assess a civil forfeiture against you in the amount of \$10 pursuant to 21-A M.R.S.A. § 1020-A(1). To avoid this forfeiture, please file the registration no later than Wednesday, May 28. You may fax the registration form to our office at 287-6775, provided that we receive the original form within five days thereafter.

If you have any questions, please telephone us at 287-4179. Thank you.

Sincerely

Johathan Wayne

**Executive Director** 

cp

### Phillips, Cyndi

From:

Wayne, Jonathan

Sent: To: Wednesday, May 14, 2008 6:19 PM Phillips, Cyndi; Thompson, Sandy

Subject:

Mitchell Snowman

I received Mr. Snowman's cell number from the Skowhegan clerk, and telephoned him today. The number is 399-8989.

Mr. Snowman said that he would send in the registration form.

I explained that as a candidate for the Legislature he would have to file 5 campaign finance reports with our office if he wins his primary (2 reports if he does not win the primary).

He stated that he would not raise or spend \$1,500 for his race. He requested that we send him two paper campaign finance reporting forms.

I told him to contact Sandy Thompson if he has any questions.

Cyndi: please send Mr. Snowman two paper forms for privately financed candidates on Thursday, and file this e-mail in his candidate file.

Thank you.

mailed 5/15/5



May 13, 2008

Mr Mitchell Snowman 494 Back Road Skowhegan, ME 04976

Re: Requirement to Register as Legislative Candidate

Dear Mr. Snowman:

The Maine Ethics Commission has written you twice on March 28 and May 1, 2008 about the legal requirement to register as a candidate for the Maine House of Representatives. (21-A M.R.S.A. § 1013-A) The Commission staff has not yet received your registration. Even if you expect to be replaced by another candidate, you are required to register and identify a treasurer or state that you are exempt.

Registration and Voluntary Expenditure Limits Forms

Please fill out the registration form and voluntary expenditure limits forms and return them in the enclosed envelope. If the Commission staff does not receive a signed registration form by Wednesday, May 21, we will recommend that the members of the Commission assess a civil forfeiture of \$10 against you at the next public meeting of the Commission on May 30, 2008. You will receive notice from the staff if your matter is placed on the meeting's agenda.

Financial Report due May 30, 2008

As a candidate for the Legislature, you are legally required to file five campaign finance reports during the election year. The first report must be filed by 11:59 p.m. on Friday, May 30, 2008. If you expect to raise at least \$1,500 for your race, you are required to file the report electronically on the Commission's website using a username and password issued by the Commission. Please be aware that financial penalties accrue every day that this report is filed late.

Exemption from Reporting

If you will not raise or spend any money for your candidacy, please fill out Box 6 on the second page of the registration form and have it notarized. Then, you will not be required to file the campaign finance reports later this year.

If you have any questions, please telephone us at 287-4179. Thank you.

Sincerely

Sandy Thompson

Candidate Registrar

OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE WEBSITE: www.maine.gov/ethics

PHONE: (207) 287-4179

2008 Primary Election

Office Listing of Candidates Filing

Office	Office Dist County Party Name	Party	Name	Residence	Mailing Address	Date Filed
SR	ထ	O	McCabe, Jeffrey M.	Skowhegan	13 Olive Street, Skowhegan 04976 Qualified Lev	02/26/08
SR	85	0	Snowman, Mitchell S.	Skowhegan	494 Back Road, Skowhegan 04976	03/17/08
SR	86	ת	Curtis, Philip A.	Madison	93 Blackwell Hill Road, Madison 04950	02/12/08
SR	86	O	Du Houx, Ramona D. C.	Solon	PO Box 311, Solon 04979	03/17/08
SR	87	ZJ	Courchesney, Angela M.	Jay	PO Box 73, Livermore Falls 04254	03/14/08
SR	87	D	Gilbert, Paul E.	Jay	4 Elm Street, Jay 04239	03/06/08
SR	88	O	Cupples, Garrison E.	New Portland	313 Bog Road, New Portland 04961	02/27/08
SR	8	ZJ	Pinkham, Wright H., Sr.	Lexington Twp.	1480 Long Falls, Lexington Twp. 04961	03/04/08
SR	89	<sub>D</sub>	Mahoney, Keith Edward	Farmington	111 South Street, Farmington 04938	03/17/08
SR	89	O	Mills, Janet T.	Farmington	PO Box 110, Farmington 04938	03/14/08
SR	90	D	Collins, Maxine B.	Wilton	207 Walker Hill Road, Wilton 04294	03/17/08
SR	91	0	Carter, Timothy A.	Bethel	744 Intervale Road, Bethel 04217	03/12/08



May 22, 2008

Ms. Joan Boucher 125 Harrisburg Avenue Westbrook, ME 04092

RE: Notice of Recommended Forfeiture

Dear Ms. Boucher:

The Maine Ethics Commission has written you three times (March 28, May 1, and May 13, 2008) about the <u>legal requirement</u> to register as a candidate for the Maine House of Representatives. (21-A M.R.S.A. § 1013-A) The Commission staff has not yet received your registration.

This is to inform you that at the May 30, 2008 meeting of the Ethics Commission, the staff of the Commission will recommend that the Commission assess a civil forfeiture against you in the amount of \$10 pursuant to 21-A M.R.S.A. § 1020-A(1). To avoid this forfeiture, please file the registration no later than Wednesday, May 28. You may fax the registration form to our office at 287-6775, provided that we receive the original form within five days thereafter.

If you have any questions, please telephone us at 287-4179. Thank you.

Sincerely

Jonathan Wayne

**Executive Director** 

ср

### Phillips, Cyndi

From:

Wayne, Jonathan

Sent:

Wednesday, May 14, 2008 6:29 PM

To:

Timberlake, Sharon E

Cc: Subject: Phillips, Cyndi Joan Boucher

I tried to reach Joan Boucher at 856-8826 to follow up on our mailing about registering as a candidate. The phone number was not in service.

Cyndi: could you please file this in her candidate file? Thank you.



May 13, 2008

Ms. Joan Boucher 125 Harrisburg Avenue Westbrook, ME 04092

Re: Requirement to Register as Legislative Candidate

Dear Ms. Boucher:

The Maine Ethics Commission has written you twice on March 28 and May 1, 2008 about the <u>legal requirement</u> to register as a candidate for the Maine House of Representatives. (21-A M.R.S.A. § 1013-A) The Commission staff has not yet received your registration. Even if you expect to be replaced by another candidate, you are required to register and identify a treasurer or state that you are exempt.

Registration and Voluntary Expenditure Limits Forms

Please fill out the registration form and voluntary expenditure limits forms and return them in the enclosed envelope. If the Commission staff does not receive a signed registration form by Wednesday, May 21, we will recommend that the members of the Commission assess a civil forfeiture of \$10 against you at the next public meeting of the Commission on May 30, 2008. You will receive notice from the staff if your matter is placed on the meeting's agenda.

Financial Report due May 30, 2008

As a candidate for the Legislature, you are legally required to file five campaign finance reports during the election year. The first report must be filed by 11:59 p.m. on Friday, May 30, 2008. If you expect to raise at least \$1,500 for your race, you are required to file the report electronically on the Commission's website using a username and password issued by the Commission. Please be aware that financial penalties accrue every day that this report is filed late.

Exemption from Reporting

If you will not raise or spend <u>any</u> money for your candidacy, please fill out Box 6 on the second page of the registration form and have it notarized. Then, you will not be required to file the campaign finance reports later this year.

If you have any questions, please telephone us at 287-4179. Thank you.

Sincerely Diacon Jimbellake

Sharon Timberlake Candidate Registrar

OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE WEBSITE: www.maine.gov/ethics

PHONE: (207) 287-4179

Ö
ç
Ġ
=
9
4

### Office Listing of Candidates Filing Residence Mailing Address

03/07/08	6 Old Blue Point Road, Scarborough 04074	Scarborough	McDonough, John F.	<b>7</b> 0	127	SR R	
03/17/08	PO Box 6998, Scarborough 04070	Scarborough	Flaherty, Sean Peter	O	127	SR	
01/24/08	111 Monroe Avenue, Westbrook 04092	Westbrook	Driscoll, Timothy E.	ם	126	SR	
03/14/08	125 Harrisburg Avenue, Westbrook 04092	Westbrook	Boucher, Joan M.	χ <b>J</b>	126	SR	$\bigcap$
03/14/08	204 Pierce Street, Westbrook 04092	Westbrook	Senechal, L. Edward	73	125	SR	
03/04/08	22 Garfield Street, Westbrook 04092	Westbrook	Peoples, Ann E.	Ū	125	SR	
02/21/08	PO Box 2168, South Portland 04106	South Portland	Kaenrath, Bryan T.	O	124	SR	
03/17/08	42 Fellows Street, South Portland 04106	South Portland	French, Eric W.	7J	124	SR	
03/17/08	7 Spurwink Avenue, South Portland 04106	South Portland	Reynolds, Peter A.	<b>⊼</b>	123	SR	
02/19/08	187 Pilgrim Road, South Portland 04106	South Portland	Eberle, Jane E.	0	123	SR	
03/12/08	18 B Street, South Portland 04106	South Portland	Morrison, Terry K.	0	122	SR	
03/17/08	410 Broadway, Apt. 2, South Portland 04106	South Portland	Clark, Melinda	70	122	SR	
Date Filed	Mailing Address	Residence	Party Name	nty Party	Office Dist County	)ffice	0



May 22, 2008

Mr. Frank Stanley 54 Butlers Ledge West Tremont, ME 04616

RE: Notice of Recommended Forfeiture

Dear Mr. Stanley:

The Maine Ethics Commission has written you three times (March 28, May 1, and May 13, 2008) about the <u>legal requirement</u> to register as a candidate for the Maine House of Representatives. (21-A M.R.S.A. § 1013-A) The Commission staff has not yet received your registration.

This is to inform you that at the May 30, 2008 meeting of the Ethics Commission, the staff of the Commission will recommend that the Commission assess a civil forfeiture against you in the amount of \$10 pursuant to 21-A M.R.S.A. § 1020-A(1). To avoid this forfeiture, please file the registration no later than Wednesday, May 28. You may fax the registration form to our office at 287-6775, provided that we receive the original form within five days thereafter.

If you have any questions, please telephone us at 287-4179. Thank you.

Sincerely

Jønathan Wayne

Executive Director

ср

FAX: (207) 287-6775

PHONE: (207) 287-4179

### Phillips, Cyndi

From:

Wayne, Jonathan

Sent:

Wednesday, May 14, 2008 6:21 PM

To:

O'Brien, Gavin Phillips, Cyndi

Cc: Subject:

Frank Stanley

I tried to reach him at 224-0107 as a follow-up to our mailing Tuesday requesting that he register. I heard a message that the number could not be completed as dialed. I confirmed that I dialed correctly, and dialed twice (receiving the message both times).

Cyndi: please file this e-mail in Mr. Stanley's candidate file.



May 13, 2008

Mr. Frank Stanley 54 Butlers Ledge West Tremont, ME 04616

Re: Requirement to Register as Legislative Candidate

Dear Mr. Stanley:

The Maine Ethics Commission has written you twice on March 28 and May 1, 2008 about the <u>legal requirement</u> to register as a candidate for the Maine House of Representatives. (21-A M.R.S.A. § 1013-A) The Commission staff has not yet received your registration. Even if you expect to be replaced by another candidate, you are required to register and identify a treasurer or state that you are exempt.

Registration and Voluntary Expenditure Limits Forms

Please fill out the registration form and voluntary expenditure limits forms and return them in the enclosed envelope. If the Commission staff does not receive a signed registration form by Wednesday, May 21, we will recommend that the members of the Commission assess a civil forfeiture of \$10 against you at the next public meeting of the Commission on May 30, 2008. You will receive notice from the staff if your matter is placed on the meeting's agenda.

Financial Report due May 30, 2008

As a candidate for the Legislature, you are legally required to file five campaign finance reports during the election year. The first report must be filed by 11:59 p.m. on Friday, May 30, 2008. If you expect to raise at least \$1,500 for your race, you are required to file the report electronically on the Commission's website using a username and password issued by the Commission. Please be aware that financial penalties accrue every day that this report is filed late.

Exemption from Reporting

If you will not raise or spend <u>any</u> money for your candidacy, please fill out Box 6 on the second page of the registration form and have it notarized. Then, you will not be required to file the campaign finance reports later this year.

If you have any questions, please telephone us at 287-4179. Thank you.

Sincerely

Gavin O'Brien

Candidate Registrar

OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE WEBSITE: www.maine.gov/ethics

PHONE: (207) 287-4179

hage 10 of 41

Office Dist County Party Name

SR

36

IJ

Stanley, Frank L.

Tremont

54 Butlers Ledge, West Tremont 04616

2008 Primary Election

Office Listing of Candidates Filing

Residence

Mailing Address

Deali had for Date Filed ballet -> 03/17/08

											1
SR	SR	SR	SR.	SR	SR	SR.	SR	SR	SR.	SR	***************************************
4	40	40	39	39	39	39	38	38 8	37	37	
<del>ر</del>	χ <b>,</b>	D	0	7J	,	<del>70</del>	<b>7</b> 0	0	<del>7</del> 2	O	A PRO
	Rog	LeB	Higg		Cus	Brig	Lan	nop	Wal	Sch	
Coolidge, Maxwell G.	Rosen, Kimberley C.	LeBlanc, Mark J.	gins, D	Duprey, Carol S.	Cushing, Andre E., III	Briggs, Ricky A.	Langley, Brian D.	Jones, Carrie E.	Walden, Jonathan W.	Schatz, James M.	A STREET, SEE STREET, SEC.
Maxwe	nberle	∕lark J.	avid A	arol S.	\ndre E	<i>\$</i> y .>	rian D	ii Li	onatha	mes M	-
≝ G	y c.		Higgins, David Anderson		!'' ≣		·		n W.	<del></del>	A - A STATE OF THE PARTY OF THE
			5								
											The state of the s
Orland	Buc	Orri	Ham	Ham	Ham	Ham	Ellsv	Ellsv	Surry	Blue Hill	-
Ind	Bucksport	Orrington	Hampden	Hampden	Hampden	Hampden	Ellsworth	Ellsworth	~	Ĭ	-
											-
<b></b>	-	63	63	<b>.</b>	-77	-	>	-17		-	Section of the party of the par
568 Front Ridge Road, Orland 04472	PO Box	38 Fowler Road, Orrington 04474	304 Old	PO Box	PO Box	PO Box 694, Hampden 04444	11 South	PO Box	1214 Toddy Pond Road, Surry 04684	PO Box 437, Blue Hill 04614	ALL PROPERTY OF THE PARTY OF
ont Rid	× 877,	rier Ro	d Cour	(214,		< 694, I		( 1039,	oddy P	( 437, 1	-
ge Ro	Bucksp	ad, On	ity Ros	Натро	Hampo	Натро	et, Elle	Ellsw	ond R	Blue H	
ad, Orl	877, Bucksport 04416	rington	id, Har	214, Hampden 04444	687, Hampden 04444	len 04	sworth	1039, Ellsworth 04605	oad, Si	iii 046	and the second Print of the Party of the State of the Sta
and O	1416	0447	npden	444	444	444	Street, Ellsworth 04605	4605	urry 0.	4	***************************************
4472		4	County Road, Hampden 04444				•		4684		A PROPERTY OF THE PROPERTY OF
			-								
											abdated and statement of the statement
8	8	2	8	8	8	8.	03	8	03	02	
02/12/08	03/11/08	03/17/08	03/11/08	03/17/08	03/12/08	03/17/08	03/12/08	03/03/08	03/13/08	02/28/08	مروحه فطلهمها التعدماتات
	<del></del>		<del>-</del> -		-						1



To: Unregistered Candidates (see attached list)

From: Sandy Thompson, Candidate Registrar (287-7651)

Gavin O'Brien, Candidate Registrar (287-4709)

Sharon E. Timberlake, Candidate Registrar (287-6288)

Date: May 1, 2008

Re: Candidate Registration

As a candidate for county or legislative office, the Election Law <u>requires</u> you to register with the Maine Ethics Commission and to file <u>four</u> campaign finance reports this year listing your campaign contributions and expenditures.

Please fill out the Registration form in the enclosed packet and return it. If you are a candidate for the Legislature, you must also complete and return the Voluntary Spending Limits form. You may sign and submit the Code of Fair Campaign Practices, but you are not required to do so.

You may be exempt from the reporting requirements if you do not raise or spend any money (including the use of your personal funds) for your campaign. If you will not raise or spend any money for your candidacy, please fill out Box 6 on the second page of the Registration form and have it notarized. Then, you will not be required to file the campaign finance reports later this year. You still need to register with the Ethics Commission, even if you will not raise or spend any campaign funds.

Unless you request the exemption, your first campaign finance report will be due on May 30, 2008.

Please call the Candidate Registrar whose name and phone number are highlighted above, if you have any questions.

Thank you.

/cp Enclosure

OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE WEBSITE: www.maine.gov/ethics

PHONE: (207) 287-4179 FAX: (207) 287-6775

ž,

# 2008 CANDIDATE LIST

21 Count

TR: Total Receipts
TE: Total Expenditures

<sup>8</sup> Name

Year

Election Type Office Sought

District

County

Party

Financing

LFay A Lawson	<b>₩</b> ancy L Larsen	Steven E Joy	∨Bradford B Jerome	George M Jabar	Dana C Hanley	Randall A Greenwood	Eric W French	Lawrence W Dawson	Daniel A Daggett	Beverly C Daggett	Jonathan M Crimmins	Bonnie B Cousins	James F Cloutier	Joan M Boucher	Sheridan T Bond	Elmer F Berry	Leonard E Bartel	LPeter K Baldacci
2008	2008	2008	2008	2008	2008	ood 2008	2008	on- 2008 Mit on toutto	2008	2008	ins 2008	2008	2008	2008	2008	2008	2008	2008
Primary	Primary	Primary	Primary	Primary	Primary	Primary	Primary	Who Primary	Primary	Primary	Primary	Primary	Primary	Primary	Primary	Primary	Primary	Primary
County Commission N/A	County Commission N/A	County Commission N/A	Representative 137	County Commission N/A	Judge of Probate N/A	County Commission N/A	Representative / 124	County Commission N/A	County Commission N/A	County Commission N/A	Representative / 66	Register of Probate N/A	County Commission N/A	Representative 126	County Commission N/A	County Commission N/A	Register of Probate N/A	County Commission N/A
Hancock	Cumberland	Hancock	-None-	Kennebec	Oxford	Androscoggin	-None-	Sagadahoc	Sagadahoc	Kennebec	-None-	Hancock	Cumberland	-None-	Lincoln	Androscoggin	Androscoggin	Penobscot
Democratic	Republican	Republican	Republican	Democratic	Republican	Republican	Republican	Republican	Republican	Democratic	Republican	Republican	Democratic	Republican	Republican	Democratic	Democratic	Democratic
UNREGISTERED	UNREGISTERED	. UNREGISTERED	UNREGISTERED	UNREGISTERED	UNREGISTERED	UNREGISTERED	UNREGISTERED	UNREGISTERED	UNREGISTERED	UNREGISTERED	UNREGISTERED	UNREGISTERED	UNREGISTERED	UNREGISTERED	UNREGISTERED	UNREGISTERED	UNREGISTERED	UNREGISTERED

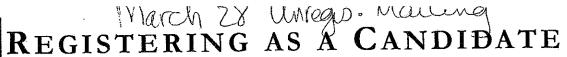
# 2008 CANDIDATE LIST

Total Receipts

Total Expenditures

Name	Year	Election Type Office Sought	Office Sought	District	County	Party	Financing
Lawrence F Nash	2008	Primary	County Commission: N/A	N/A	Knox	Republican	UNREGISTERED
Gerard F Nault	2008	Primary	County Commission N/A	N/A	Kennebec	Republican	UNREGISTERED
LKIchard L Parent	2008	Primary	County Commission N/A	N/A	Knox	Republican	UNREGISTERED
Peter J Powers	2008	Primary	Representative	101	-None-	Democratic	UNREGISTERED
A. Edward Senechal	2008	Primary	Representative	125	-None-	Republican	UNREGISTERED
Kevin L Shorey	2008	Primary	County Commission N/A	N/A	Washington	Republican	UNREGISTERED
Lames H Siglow	2008	Primary	Representative	72	-None-	Republican	UNREGISTERED
Mitchell S Snowman	2008	Primary	«Representative»	85	-None-	Democratic	UNREGISTERED
Frank L Stanley	2008	Primary	Representative	36 ·	-None-	Republican	UNREGISTERED
George Rawling Thomson	2008	Primary	Representative	80	-None-	Republican	UNREGISTERED
Lohn W Voorhees	2008	Primary	Judge of Probate	N/A	Sagadahoc	Republican	UNREGISTERED
Vane M Walsh	2008	Primary	Representative *	134	-None-	Republican	UNREGISTERED
Gerald A York	2008	Primary	County Commission: N/A	N/A	Somerset	Democratic	UNREGISTERED

COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES



You are listed with the Secretary of State's office as a candidate in the upcoming election. State statute requires that candidates also register and file campaign finance reports with the Ethics Sent to ALL unvegistered Canditale Commission.

To get the forms you need to register:

- Download them from our website: www.maine.gov/ethics or
- Call us and request a registration packet by mail.
- Please read and follow the instructions about how to register.
- Be sure to indicate the office you are running for including district or county, and pa affiliation.

If registering as a county or privately financed legislative candidate, remember to:

- Check "privately financed" in the financing status box.
- Fill in office sought and district or county.
- If you do not intend to raise or spend any money for your campaign, complete section 1 on the front of the registration form and section 6 (Exemption from Reporting Requirements) on the back, and have the form signed by a notary public. This exempts you from filing campaign finance reports.
- If you are a legislative candidate, sign and submit the Voluntary Expenditure Limits form.

If registering as a Maine Clean Election Act candidate, remember to:

- Check "MCEA" in the financing status box.
- Fill in office sought and district.
- Sign and submit the Declaration of Intent.
- Complete and submit the vendor form.

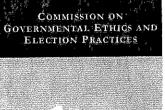
135 State House Station Augusta, Maine 04333 Location: 242 State Street.

Mailing:

Phone: 207-287-4179 Fax: 207-287-6775

Augusta, Maine

Website: www.maine.gov/ethics



### REGISTERING AS A CANDIDATE

You are listed with the Secretary of State's office as a candidate in the upcoming election. State statute requires that candidates also register and file campaign finance reports with the Ethics Commission.

To get the forms you need to register:

- Download them from our website: www.maine.gov/ethics or
- Call us and request a registration packet by mail.
- Please read and follow the instructions about how to register. o
- Be sure to indicate the office you are running for including district or county, and party affiliation.

If registering as a county or privately financed legislative candidate, remember to:

- Check "privately financed" in the financing status box.
- Fill in office sought and district or county.
- If you do not intend to raise or spend any money for your campaign, complete section 1 on the front of the registration form and section 6 (Exemption from Reporting Requirements) on the back, and have the form signed by a notary public. This exempts you from filing campaign finance reports.
- If you are a legislative candidate, sign and submit the Voluntary Expenditure Limits form.

If registering as a Maine Clean Election Act candidate, remember to:

- Check "MCEA" in the financing status box.
- Fill in office sought and district. o
- Sign and submit the Declaration of Intent.
- Complete and submit the vendor form.



Mailing 135 State House Station Augusta, Maine 04333 Location: 242 State Street.

Augusta, Maine

Phone: 207-287-4179 Fax: 207-287-6775

Website: www.maine.gov/ethics